



OFFICE OF THE UNDER SECRETARY OF DEFENSE

WASHINGTON, D.C. 20301

RESEARCH AND
ENGINEERING
(OFPO)

22 OCT 1985

MEMORANDUM FOR CHIEF, OFFICE OF SECURITY, DIA

SUBJECT: Library Access for the Rand Corporation

References:

- a. My memorandum, subject as above, 20 September 1985 (copy attached).
- b. Your memorandum, subject as above, 26 September 1985.
- c. Our telephone conversation of 1 October 1985.

With respect to these references, the following supplemental information is provided concerning the request for waiver of the NOCONTRACT provision of DCID 1/7 for the OSD/OJCS Federally Funded Research and Development Center (FFRDC) at the Rand Corporation:

The OSD/OJCS FFRDC at Rand was chartered in November 1984, in accordance with the Office of Federal Procurement Policy (OFPP) Policy Letter 84-1, to conduct research required by the Department of Defense related to defense strategy formulation, technology and systems development, and resource management. This FFRDC conducts studies and analyses in a number of areas, including international security and defense policy, mission area analyses, strategy assessment, threat assessment, operational analyses and weapon systems effectiveness. Under the terms of the OSD policy governing OSD/OJCS Rand FFRDC research, and paragraph 6c of the OFPP Policy Letter 84-1, Rand is charged with maintaining its objectivity and independence, preserving its familiarity with the needs of its sponsors and providing a quick response capability. Rand cannot meet these obligations if access to relevant data is obstructed or unduly delayed. This is recognized in paragraph 5c(2)(c) of the OFPP Policy Letter, which defines one of the qualifying characteristics of an FFRDC as, "having access, beyond that which is common to the normal contractual relationship, to Government and/or supplier data...needed to discharge its responsibility efficiently and effectively, whether the data is sensitive, proprietary or not."

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Presently, the OSD/OJCS FFRDC at Rand can request and receive NOCONTRACT materials on a case-by-case basis with the requirement that "release" of such material be obtained from its originator. This "release" requirement is invariably time-consuming, since it generally involves complex procedures and multiple offices, which adversely impacts upon Rand's ability to respond to the needs of its sponsors in a timely and complete manner. It is also important to note here that this office has no record of any instance wherein DoD did not provide the OSD/OJCS FFRDC at Rand with access to NOCONTRACT information germane to research and analyses sponsored by DoD sponsors--the issue at hand is not access to NOCONTRACT information, but access in a timely manner so that the FFRDC can be responsive and accomplish the purpose for which it was chartered. Current operations, with respect to acquisition of NOCONTRACT information, are hampering the FFRDC's ability to meet the needs of its DoD sponsors.

With respect to protection of NOCONTRACT information, the OSD/OJCS FFRDC at Rand safeguards NOCONTRACT materials by storing and controlling access through two existing facilities, one for the storage and control of collateral intelligence data (including Top Secret) and the other for Sensitive Compartmented Information (SCI) materials. The Rand Corporation Sensitive Compartmented Information Facility (SCIF) is under the cognizance of DIA/OS2, Washington, D.C. 20301-6111. The Contractor Special Security Officer (CSSO) reports directly to DIA/OS2 on matters pertaining to physical security, administration, operation and certification of the SCIF. The Rand Top Secret Control Office (TSCO) is housed in its Intelligence Center and is under the cognizance of the Defense Investigative Service.

Access to NOCONTRACT materials obtained by Rand under the authority of its DoD sponsors is controlled by seven Rand program managers, who report to the Vice President for National Security Research. All non-SCI NOCONTRACT materials are logged in by the TSCO and access is restricted to those with an established need-to-know, as approved by my office and the appropriate research program manager. All SCI NOCONTRACT materials are similarly controlled by the SCIF's CSSO. NOCONTRACT materials cannot be shared among the various research programs without my specific approval. Records reflect that during an average year, approximately 25 to 30 members of the Rand professional staff would be expected to have access to NOCONTRACT materials, including the seven program managers. This core group of researchers and program managers work essentially full-time on FFRDC projects assigned by DoD sponsors.

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The original request for this waiver of the NOCONTRACT provisions of DCID 1/7 was made in April 1985. Delays experienced at the OSD/OJCS FFRDC at Rand have impacted on the timeliness of research and analyses performed for DoD sponsors. I trust that this supplemental information will satisfy the concerns expressed by the SECOM in its 18 September 1985 meeting. Your assistance in this matter is appreciated.



T. L. RICKETTS
Colonel USA
Director
OSD FFRDC Programs Office

Attachment
a/s